No.



2000000092

THE UNKLED SHAVES OF AVIERIOA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Asgrob Seed Company TIC

THE COURT THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE IGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR PROPAGATION, OR STOCKING IT FOR ANY OF THE PURPOSE, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT DEVITED BY THE PLANT VARIETY PROTECTION ACT. (845TAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'AG1601'

In Jestimonn Macrost, I have hereunto set my hand and caused the seal of the Plant Barrets Arotection Office to be affixed at the City of Washington, D.C. this eighth day of May, in the year of our Lord two thousand one.

alunk. Fort

Alting Commissioner Plant Variety Protection Office Agricultural Marketing Service an Wenemon

fary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE

The following state ments are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Heduction Act (PRA) of 1995

(See reverse for instructions and information collection burden statement

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

Application is required in order to determine if a plant variety protection certificate is to be issued

(Instructions and information collection burden statement	on reverse)		undernation is new contracting until Co	Amodic is issues (C.O.G.O. 2420)		
1 NAME OF OWNER Asgrow Seed Company LLC			2 TEMPORARY DESIGNATION OF EXPERIMENTAL NAME APG1372	3 VARIETY NAME AG1601		
4 ADDRESS (Street and No. or R.F.D. No., City, State, and ZIP Code, and Country)			5 TELEPHONE (include area code	FOR OFFICIAL USE ONLY		
634 East Lincoln Way	1		515–232–7170			
Ames IA 50010	*, * *			_2°0°0°0 0 0 9		
		•	6 FAX (include area code) 515–956–6905			
				FILING DATE		
7 IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.)	8 IF INCORPORATE STATE OF INCOR	ED. GIVE RPORATION	9 DATE OF INCORPORATION	12/9/1999		
Corporation	Delaware		March 22, 1968	The second second		
10 NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO SERVE IN THE	HIS APPLICATION (First	person listed will re	ceive all papers)	FILING AND EXAMINATION FEES:		
Donald Steffen		9 I		F 25/5/108		
Asgrow Seed Company LLC	•		erg en Maria en eg	ESZYJO.		
634 East Lincoln Way	jagoria. Tagoria	er grande beginne er	en e	R DATE (2/9/1999		
Ames IA 50010			•	E CERTIFICATION FEE:		
		* * *	•	18:320		
				DATE 42301		
11 TELEPHONE (Include area code) 12 FAX (Include area code)	13. E_M/	AIL	14	CROP KIND (Common Name)		
515–956–3002 515–956–6905	donalo	l.e.steff	en@monsanto.com	Soybean		
15 GENUS AND SPECIES NAME OF CROP	16. FAM	IILY NAME (Botani	cal) 17	IS THE VARIETY A FIRST GENERATION		
		guminosae	uminosae 🔲 YES 🛱 NO			
18 CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Folio	W ASSIDICATIONS ON	19 10055 THE	ONA SER CRECIEN THAT COED OF T			
reverse) a. [3] Exhibit A. Origin and Breeding History of the Variety		CERTIFIED	SEED? See Section 83(a) of the	HIS VARIETY BE SOLD AS A CLASS OF Plant Vanety Protection Act)		
b Exhibit B. Statement of Distinctness		<u> </u>	YES (If "yes", answer dems 20 and 21 below)	NO (ff *no,* go to item 22)		
c.		20. DOES THE OF GENER	OWNER SPECIFY THAT SEED OF T ATIONS?	HIS VARIETY BE LIMITED AS TO NUMBER		
e. Exhibit E. Statement of the Basis of the Owner's Ownership			YES	□ NO		
 Vouctor Sample (2,500 viable untreated seeds or, for tuber propagation that tissue culture will be deposited and maintained in a representation. 	gated varieties, an approved public	21 IF "YES" TO	ITEM 20, WHICH CLASSES OF PRO	DOUCTION BEYOND BREEDER SEED?		
(cpository) 0. 67 Filing and Examination Fee (\$2.450) made payable to "Tressurer of the United			FOUNDATION REGISTER	ED CERTIFIED		
States" (Mail to the Plant Variety Protection Office) 22. HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) OR A HOVE	BIU BBUUINEU	20 15 715 141				
22. HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) OR A HYBRID PRODUCED FROM THIS VARIETY BEEN SOLD, DISPOSED OF, TRANSFERRED, OR USED IN THE U. S. OR OTHER COUNTRIES?			23. IS THE VARIETY OR ANY COMPONENT OF THE VARIETY PROTECTED BY INTELLECTUAL PROPERTY RIGHT (PLANT BREEDER'S RIGHT OR PATENT)?			
IF YES NO IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSITION, TRANSFER, OR USE			YES EN NO IF YES, PLEASE GIVE COUNTRY, DATE OF FILING OR ISSUANCE AND ASSIGNED			
FOR EACH COUNTRY AND THE CIRCUMSTANCES, (Mease use space in	dicated on reverse.)	REFERENC	E NUMBER. (Please use space moic	ated on reverse.)		
24 The owners declare that a viable sample of basic seed of the variety will be fulfor a tuber propagated variety a tissue culture will be deposited in a public rejudence.	factorists & entered states are sent to	OF THE GRANDERS OF S	re ceruncate,	to the contract of the contrac		
The undersigned owner(s) is(are) the owner of this sexually reproduced or tule and is entitled to protection under the provisions of Section 42 of the Plant Value.	HOLY PRODUCED FILE	-	that the variety is new, distinct, unifor	n, and stable as required in Section 42,		
Owner(s) is(are) informed that false representation herein can jeopardize pro	tection and result in penal	ities.				
SIGNATURE OF OWNER		SIGNATURE O	F OWNER			
NAME (Flease print or type)		NAME (Picase	Port or brook			
Donald Steffen		· · · · · · · · · · · · · · · · · · ·	mu o this			
CAPACITY OR TITLE DATE		CAPACITY OR	TITLE	DATE		
Regulatory Affairs Mgr. 10/15/1999						
S&T-470 (6-98) designed by the Plant Vanety Protection Office with WordPerfect 6.		03-96) which is ob-	olete (See reverse for instance	tions and information collection burden statemen		

EXHIBIT A

ORIGIN AND BREEDING HISTORY OF AG1601

- 1994 A942237 was made in Redwood Falls, Minnesota. Parentage: P9071/A1923(2)/A1900/A2396*40-3-2
- 1994-5 F1 & F2 generations were grown in Isabela Puerto Rico and advanced using modified pedigree selection.
- 1995 F3 bulk populations were grown in Redwood Falls, Minnesota and single plants pulled.
- 1995-6 F3 derived F4 plants were grown near Rancagua, Chile in progeny rows. A942237 AC6-10669 was selected based on agronomic characteristics.
- F3:5 A942237 AC6-10669 was entered in a yield test at 4 locations in the upper Midwest as 6AGC08-07, where it placed 2nd of 50 entries.
- F3:6 A942237 AC6-10669 was entered in a upper Midwest yield test at 7 locations as 7AV050-10, where it placed 5th of 32 lines.

AG1601 is uniform and stable within commercially acceptable limits based on trial observations since 1996. As with any other soybean variety, variants can occur for almost any characteristic during the course of repeated sexual reproduction.

EXHIBIT B

Novelty Statement Concerning AG1601 Soybean

To our knowledge, the soybean varieties that closely resemble AG1601 are A2396, A1923 and A1395.

1. Pod wall color	AG1601	- Brown
	A2396	- Brown
	A1923	- Tan
	A1395	- Tan
2. PRR gene	AG1601	- Rps1c
(Tolerance to Phytophthora root rot)	A2396	- Rps1a
	A1923	- Rps1k
	A1395	- Rps1k
3. Hilum color	AG1601	- Brown
·	A2396	- Imperfect Black
	A1923	- Black
	A1395	- Imperfect Black
4. RR TM gene	AG1601	- Present
(Tolerance to glyphosate herbicide)	A2396	- Absent
	A1923	- Absent
	A1395	- Absent

REPRODUCE LOCALLY. Include form number and date on all reproductions

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information under it his play a said countries in this play a said countries in the valid OMB control number for this collection of information is (0581-0055). The time required to complete this information collection is extimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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To file a complaint of discrimination, write USDA, Director, Office of Civil Rights, Room 326-W, Whitten Building, 14th and Independence Avenue, SW, Washington, DC 20250-9410 or call (202) 720-5964 (voice and TDD). USDA is an equal opportunity provider and employer.

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE AND TECHNOLOGY
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MD 20705

EXHIBIT C (Soybean

OBJECTIVE DESCRIPTION OF VARIETY

SOYBEAN (Glycine max (L.) Merr.)

NAME OF APPLICANT	(8)			FOR OFFICIAL USE ONLY		
Asgrow Seed Company LLC			PVPO NUMBER			
ADDRESS (Street and No	ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code)		VARIETY NAME			
		,		AG1601		
i	634 East Lincoln Way		TEMPORARY DESIGNATION			
Ames IA 500	Ames IA 50010			APG1372		
a zero in the first box (e.g minimum of 100 plants. standard may be used t	, □□□ or □□) whe	n number is either 99 o be determined from va designate system use	or less 9 or less respectations on the control of t	cribes the varietal character of this variety in the boxes below. Place ctively. Data for quantitative plant characters should be based on a ce same trial. Royal Horticultural Society or any recognized colorplication.		
A. MORPHOLO	GY					
Seed Shape:						
2	1 = Spherical		2 = Spheric	al Flattened		
2	3 = Elongate $4 = Elonga$		(L/W ratio >1.2; L/T ratio = <1.2)			
			-	>-Flattened 2; T/W >1.2)		
Seed Coat Color:	(22 2 10000 - 1121, 17	· · · · · · · · · · · · · · · · · · ·	(L) I Tanu >	1.2, 1/W ~1.2)		
1= Ye	ellow 2= Green	3= Brown	4= Black	5= Other		
				(Please Specify		
Seed Coat Luster:						
1= Du	dl 2= Shiny					
Seed Size:						
1 8 gran	ns/100 seeds					
Hilum Color:						
3 1= Bu	ff 2= Yellow	3= Brown	4= Gray	5= Imperfect Black		
6= Bla	nck 7= Other (A	Please Specify)				
Cotyledon Color:						

2 = Green

1 = Yellow

A. MURPHULO	GY (Continued)			6000
Seed Protein Peroxi	dase Activity:			
1 = L	ow 2 = High			
Hypocotyl Color:				
1 = Green	or 'Davis' Bands below Co	Bronze 3 = Light otyledon below Co 'Tracy' 'Beeson'o	tyledons unifo	ark Purpleextending to liate leaves (Hodgson', er',or'Hampton266A')
Leaf Shape:				
3 1 = La	unceolate 2 = Oval	3 = Ovate 4	= Other (Please Spec	?ify)
Flower Color:				
2 1 = W	hite 2 = Purple	3 = White with a l	Purple Throat	
Pod Color:				
1 = 7	Tan 2 = Brown	3 = Black		
Pubescence Color:				
1 = G1	ray 2 = Brown (Taw	my) 3 = Light	Tawny	
Plant Habit:				
3 1= Det	erminate 2= Semi-Determ	inate 3= Indeter	rminate 4 = In	termediate
B. DISEASE REA	CTIONS $0 = N_0$	t Tested 1 = Susce	eptible 2 = Resistant	3 = Tolerant
Bacterial				
0 Bacter	ial Pustule (Xanthomonas o	campestris pv. glyci	nes (Nakano) Dye)	
0 Bacter	ial Blight (<i>Pseudomonas sy</i>	ringae pv. glycinea	(Coerper) Young, Dy	e &Wilkie)
0 Wildfi	re Blight (<i>Pseudomonas syr</i>	ingae pv. tabaci (W	olf & Foster) Young,	Dye &Wilkie)
Fungal				
	Spot (Septoria glycines He	emmi)		
 Frogey	e Leafspot (<i>Cercospora soji</i> .	na)		
0 race 1		race 2	0 race 3	0 race 4
0 race 5	0	race 6	0 Other (Pi	ease Specify)
0 Target	Spot (<i>Corynespora cassiico</i>	ola (Berk. & Curt.)	Wei)	

Downy Mildew (Peronospora trifoliorum var. manshurica (Naum.) Syd. Ex Gaum)

B.	DISEASE REAC	TIONS (Continued)	0 = Not Tested 1 = Susceptible	2 = Resistant 3	@-10 0 0 0 0 0 g		
0	Powdery	Mildew (Microsphaera dif	fusa Cke. & Pk.)	Catto			
0	Brown Stem Rot (Phialophora gregata (Allington & Chamberlain) W. Gams.)						
0	Stem Ca	nker (<i>Diaporthe phaseolori</i>	ım (Cke. & Ell.)Sacc. var. caulivo	ra Athow & Caldwe	li)		
0	Pod and	Stem Blight (Diaporthe pho	aseolorum (Cke. & Ell.)Sacc. var.	<i>sojae</i> (Lehman) Wel	hm.)		
0	Purple S	eed Stain (<i>Cercospora kiku</i>	chii (T. Matsu. & Tomoyasu) Gar	dener)			
0	Rhizocto	nia Root rot (<i>Rhizoctonia s</i>	olani Kuhn)				
Phyt	tophthora Rot (<i>Phyt</i>	ophthora megasperma Drec	hs. f. sp. <i>glycinea</i> (Kuan & Erwin)))			
2	race 1	2 race 8	2 race 15	1	race 22		
2	race 2	2 race 9	1 race 16	2	race 23		
2	race 3	2 race 10	2 race 17	2	race 24		
1	race 4	2 race 11	1 race 18	1	race 25		
1	race 5	1 race 12	1 race 19	0	race 26		
2	race 6	2 race 13	1 race 20	0	race 27		
2	race 7	1 race 14	2 race 21		Other (Please Specify)		
0	Bud Bligh	nt (Tobacco Ringspot Virus	s)				
0	Yellow M	osaic (Bean Yellow Mosai	c Virus)				
0	0 Cowpea Mosaic (Cowpea Chlorotic Virus)						
0	Pod Mottle (Bean Pod Mottle Virus)						
0	0 Seed Mottle (Soybean Mosaic Virus)						
Nema	atode						
Soybe	ean Cyst Nematode ((Heterodera glycines Ichin	ohe)				
0	race 1	1 race 3	θ race 6	1	race 14 (former r. 4)		
0	race 2	0 race 5	0 race 9		Other (Please Specify)		
1 Lance Nematode (Hoplolaimus colombus Sher)							
0	Southern Root Knot Nematode (Meloidogyne incognita (Kofoid & White)Chitwood)						
0	Northern Root Knot Nematode (Meloidogyne hapla Chitwood)						

						_
B. DISE	ASE REACTIONS (Continued)	0 = Not Tested	1 = Susceptible	2 = Resistant	3)=(kole)-ah	00092
0	Peanut Root Knot Nematode (A	Ieloidogyne arenar	ia (Neal) Chitwoo	d)		
0	Reniform Nematode (Rotylench	ulus reniformis Lin	wood & Olivera)		•	
0	Javanese Nematode (Meloidogy	ne javanica (Treud) Chitwood)			
	Other Nematode (Please Specify)				
C. PHYSIC	OLOGICAL RESPONSES		1 = Susceptible		3 = Tolerant	
0	Iron Chlorosis on Calcareous Se		•			
0	Phosphorus					
0	Beron					
0	Aluminum					
0	Salt					
0	Drought					
	Other (Please Specify)		- , 			
D. INSECT	REACTIONS	0 = Not Tested	1 = Susceptible	2 = Resistant	3 = Tolerant	
0	Mexican Bean Beetle (Epilachna	varivestis Mulsant))			
0	Potato Leaf Hopper (Empoasca f	abae (Harris))				
	Other (Please Specify)					
E. HERBIC	IDE REACTIONS	0 = Not Tested	1 = Susceptible	2 = Resistant		····
2	Metribuzin					
0	Bentazone					
1	Sulfonylurea					
2	Glyphosate					
1	Glufosinate					
0	Pendimethalin					
	Other (Please Specify)					
TRANSG	ENIC COMPOSITION				, , , , , , , , , , , , , , , , , , ,	****
	oment of the Subject Variety includ	ded the insertion or	removal of gene	tic material?		
					Yes	No
yes, please co	mplete the following informations	quests*. Use addit	ional pages if nec	essary.		

F. TRANSGENIC COMPOSITION(Continued)

200000092

- 1. Please state the vector's name:
- 2. Please state the vector components:
- 3. Please describe the genetic material successfully transferred into the Subject Variety:
- 4. Please describe the insertion protocol:
- * A literature citation(s) explaining the four information requests above may be an acceptable alternative to completion of the "Transgenic Composition" portion of this form.

G. BIOCHEMICAL MARKERS

Please describe any biochemical information here which you believe will be helpful in further describing the Subject Variety (e.g. Sim Sequence Repeats, Random Fragment LengthPolymorphisms, Isozymic Characterization). Use additional pages if necessary.

H. COMMENTS

EXHIBIT D

Additional Description of AG1601 Soybean

AG1601 is a mid maturity group I variety with resistance to Roundup to herbicide. It has very high yield potential. It has superior yields to lines of similar maturity and has excellent agronomic characteristics, including excellent lodging resistance. It has a major gene for Phytophthora resistance, Rps1c, conferring resistance to most races of Phytophthora, which makes AG1601 well suited for the heavier soils which are prone to PRR. It is adapted to the mid Group I growing areas of the upper corn belt, including Michigan, South Dakota, Minnesota and Wisconsin. It has superior appearance.

EXHIBIT E

Statement of Basis of Applicant Ownership

AG1601 was originated and developed by Mr. Roger Lussenden as Asgrow soybean breeder. By agreement with Asgrow Seed Company LLC, all rights to any invention, discovery or development made by employees are assigned to the company. No rights of such invention, discovery or development are returned to the employee.

GRICULTURAL MARKETING SERVICE	The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.				
EXHIBIT E STATEMENT OF THE BASIS OF OWNERSHIP	Application is required in order to de certificate is to be issued (7 U.S.C. 2 until certificate is issued (7 U.S.C. 2420	2421). Information is held confidential			
1. NAME OF APPLICANT(S)	TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	3. VARIETY NAME			
Asgrow Seed Company LLC	APG1372	AG1601			
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country)	5. TELEPHONE (include area code)	6. FAX (include area code)			
634 E. Lincoln Way	515-232-7170	515-232-6905			
Ames IA 50010	7. PVPO NUMBER	<u>, </u>			
	20000092				
8. Does the applicant own all rights to the variety? Mark an "X" in appropri	iate block. If no, please explain.	XX YES NO			
9. Is the applicant (individual or company) a U.S. national or U.S. based could be used the same of country 10. Is the applicant the original owner? XX YES National or U.S. based country	ompany? Of no, please answer one of the f	YXX YES NO			
a. If original rights to variety were owned by individual(s), is (are) the or	iginal owner(s) a U.S. national(s)?				
TES D	O If no, give name of country				
b. If original rights to variety were owned by a company(ies), is(are) the	original owner(s) a U.S. based company	y ?			
☐ YES ☐ N	O If no, give name of country				
11. Additional explanation on ownership (if needed, use reverse for extra sp	pace):				
es	≮3 en				
PLEASE NOTE:					
Plant variety protection can be afforded only to owners (not licensees) who meet or	ne of the following criteria:	•			

- If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- 2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- 3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definition.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OM8 control number. The valid OM8 control number for this information collection is 0581-0055. The time required to compete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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